




Although it is believed no additional fee is due for this filing, in the event that the Examiner determines an additional fee is due, the Commissioner is authorized to charge any deficiency, or credit any overpayment, to our deposit account, No. 02-0410 in the name of Baker & McKenzie. A duplicate copy of this letter is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Mitchell F. Brook  
Attorney for Applicant  
Reg. No. 32,967  
c/o BAKER & McKENZIE  
101 West Broadway, 12th Floor  
San Diego, California 92101  
(619) 236-1441



#3

Page 1 of 1

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/827,255	04/05/2001	Jeffrey Tze Fei Wong	144183001336

022836  
BAKER & MCKENZIE  
660 HANSEN WAY  
PALO ALTO, CA 94304

CONFIRMATION NO. 4343

## FORMALITIES LETTER



\*OC000000006140922\*

Date Mailed: 06/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - **\$270** for multiple dependent claim surcharge.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1110.**

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*A copy of this notice MUST be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/16/2001 CCHAU1 00000008 09827255

01 FC:101	710.00 OP
02 FC:104	270.00 OP
03 FC:105	130.00 OP



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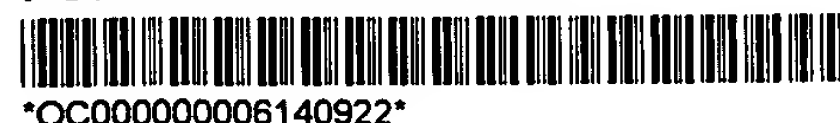
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PART 3 - OFFICE COPY



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Bib Data Sheet

CONFIRMATION NO. 4343

<b>SERIAL NUMBER</b> 09/827,255	<b>FILING DATE</b> 04/05/2001 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1636	<b>ATTORNEY DOCKET NO.</b> 1441830001336	
<b>APPLICANTS</b> Jeffrey Tze Fei Wong, Mid-levels, HONG KONG; Shui Ying Tsang, Sunshine City, HONG KONG;  <b>** CONTINUING DATA *****</b>  <b>** FOREIGN APPLICATIONS *****</b>  <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 06/01/2001</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met <input type="checkbox"/> Allowance Verified and <i>M. Schmidt</i> Acknowledged <u>Examiner's Signature</u> Initials		<b>STATE OR COUNTRY</b> HONG KONG	<b>SHEETS DRAWING</b> 7	<b>TOTAL CLAIMS</b> 8	<b>INDEPENDENT CLAIMS</b> 2
<b>ADDRESS</b> 022836					
<b>TITLE</b> Compositions and methods for the targeted delivery of agents to treat liver cancer					
<b>FILING FEE RECEIVED</b> 1110	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		